# Deep Learning Models for Beans Crop Diseases: Classification and Visualization Techniques

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**Abstract-** Crop diseases highly inhibit their growth. It may cause a critical loss of yield in crops; thus, respective crop quality or quantity gets affected. This is the reason why the detection of the disease in crops plays a significant role in the field of agriculture. Detection of crop diseases using some automatic techniques is helpful as it minimizes a massive work of supervision in big fields of production. It identifies the early symptoms of diseases in crops, i.e., as when they start to become visible on the plant leaves. In this study, beans crop leaf images were used in training for the classification, with a total of 1296 leaf images. Two Deep Learning models, namely, GoogleNet and VGG16 have been used to automatically extract the features from the images fed to the trained network. For training, bean crop leaves were classified into three different categories (classes), namely, Angular Leaf Spot, Beans Rust, and Healthy. Experimental results show that GoogleNet performs better than VGG16 with an accuracy of 95.31%. Visualization approaches, namely, Visualization of Intermediate layer activations, Visualization of the CNN filter, and Visualization of Heat Maps were used for analyzing, understanding the symptoms, and localization of diseased regions in the leaves. Moreover, it helps the naïve users to understand how a convolutional neural network works internally "instead of a black box" to identify and classify the diseased regions in an image.

Keywords- Deep learning, classification, visualization, activation map, CNN.

#### I. INTRODUCTION

Deep Learning (DL) has been started in 1943, as a new subcategory of Machine Learning(ML) when threshold logic was proposed to form a learning (computer) model that resembles the brain of humans. The evolution of research in this field can be categorized into 2-time frames: starting from 1943 to 2006 and from 2012 to the present. In its initial phase of developments, Backpropagation [1], Hand-written text recognition [2], chain-rule [3], and training problems were seen [4,5]. Subsequently, there were a lot of architectures/techniques that were proposed for multiple applications like the healthcare sector [6], marketing [7], image recognition [8–13], and text recognition [2,14,15]. Among all the frameworks, AlexNet [16] is observed as a benchmark in the area of DL, after winning the ImageNet challenge (ILSVRC) in 2012. After this, various architectures were proposed to overcome the research gaps seen previously. Several well-known performance metrics such as training/validation accuracy and loss [17,18], top-5%/top-1% error [8,10,16,19], classification accuracy (CA) [20–22], F1-score [23,24], precision and recall [9,17,23] were used to evaluate the results of these architectures.

As DL frameworks began to make advancements with the time, they were deployed in the field of image classification and recognition. These frameworks have also been introduced in various agricultural applications, e.g., plant leaves classification was carried out by deploying author- modified Convolutional Neural Network (CNN) with random forest (RF) classifier. Among 32 crop species, its performance was observed using CA at 97.3% [25]. In studies [26,27] and [28], authors performed implementations for fruit and leaf counting. For the classification of different crop types, Kussul et al. [29] implemented a user-modified CNN, Mortensen, et al. [18] applied VGG16, Rubwurm et al. [17] proposed LSTM, and Rebetez et al. [30] deployed CNN with RGB histogram. In this paper, a performance comparison has been made between the two pre-trained models GoogleNet and VGG16, in order to classify the healthy and diseased leaves of bean crops.

The rest of the paper is framed into the following sections. Section 2, gives some of the insights of DL. Section 3, discusses the Materials used for crop disease detection. Section 4, describes the experimental analysis using pre-trained models deployed over a small data sample. Section 5, Visualizing the learning process on CNN. Finally, section 6 concludes the study.

#### II. INSIGHTS OF DL

#### A. Applicability of DL for crop disease detection

Many DL architectures/models were developed soon after the famous AlexNet [16] for image segmentation, identification, and classification. This section shows some of the researches carried out using well-known DL models for the detection and classification of crops' diseases. In most of the studies, the PlantVillage dataset has been commonly used as it comprises 54,306 images of 14 distinct crops with 26 crop diseases [9]. LeNet was implemented to identify the diseases in banana leaves. F1-score and CA were applied to evaluate the model's performance in Gray Scale and Color modes [23]. In the study [31], the author evaluated a modified version of LeNet architecture that was deployed to identify olive crop diseases. Image segmentation technique along with edge maps was applied to spot the crop diseases. The same model was implemented in the study [32] to identify and classify the diseases in soybean crops. In order to detect vine crop diseases in UAV images, Kerkech et al. [33] combined the color space and vegetation indices with the LeNet model. Zhang et al. [34] have implemented the three CNN frameworks; AlexNet, ResNet, and GoogLeNet to identify the diseases in tomato leaves. Training and validation accuracy was computed to measure the performance of the architectures: ResNet gave the best results among all.

#### B. Data sources

It was observed that mainly large image datasets were applied over the DL architectures. In some cases, datasets comprised of thousands of images, either real images [9,20] or processed by the author [18,28]. Many datasets originated from publicly-available datasets, e.g. LifeCLEF, Flavia, PlantVillage, UC Merced, and Malayakew. Moreover, several other datasets compromised of the real images captured by the researcher according to their needs [11,22,37,38]. These images were taken either by UAV [4,30,39], airborne [40], satellite-based remote sensing [17,29], or using fields sensors [41]. In general, data requirement increases with the complexity of the problem, e.g., more training data is needed in DL when there is a small variation in between classes and the need is to identify a large number of classes in the dataset [9,17,22].

### C. Data- pre-processing

It comprises the pre-processing of the provided data into floating-point vectors, the data readable by a CNN. The major part of related work done includes certain image preprocessing steps that were performed on the images prior to the training or their extracted features applied at the input layer of the DL architecture. Some well- known pre-processing techniques were image resizing (resized to 60x60, 96x96, 128x128, 256x256 pixels), data annotations [37,42], and image segmentation ( used to highlight the regions of interest [9,11,20,43,44] and to increase the dataset size [30,45]). Some pre-processing techniques were also deployed for noise removal from images such as background removal [9,21], non-green pixel removal [20], extraction of foreground pixels [46]. Other techniques involved bounding boxes formation [21,42], conversion of image dataset to grayscale [23], or HSV color model [46]. Furthermore, in some studies, the features extracted from the images were fed to the input terminal of the DL model such as statistical and shape features [25], wavelet transformations [47], histograms [22,25,30], GLCM features [48], and PCA filters [22].

### D. Data augmentation

Various data augmentation techniques [16] have been applied in the literature to enhance the diversity of image data for training models without adding new data. It helps to increase the overall learning process and the efficiency of the model. Augmentation procedure is especially significant for small datasets [11,18,38,49] for the training of DL models, as it helps in the generalization of data through serving the model with a variety of data. The use of data augmentation was also observed in the researches, where DL models were trained using synthetic images and were validated/tested using the real images [18,28].

### E. Performance metrics

Various performance metrics have been used by the researchers to evaluate the performance of the model, each being precise to the DL model deployed in the study. In Table 1, these metrics are defined along with their used symbol. In some studies where the term accuracy is used without defining its meaning, we considered it as classification accuracy (CA). It has been deployed as the most commonly used metric. F1- score, RMSE, IoU, RFC are some other popular performance metrics. It was observed that some papers deployed a combination of metrics for the prediction of the model [50].

Performance	Definition	Symbol	Reference
Metric			
Classification	It is the % correct prediction from the total	CA	[25,40,43,46,51]
Accuracy	ones.		[20-22]
	$CA = \frac{TP + TN}{TP + TN}$		
	(TP+TN+FP+FN)		
	Notations,		
	TP= true positive		
	TN= true negative		
	FP= false positive		
	FN= false negative		
Precision	It is a fraction of the correct prediction	Р	[9,17,23,50]
	from the total relevant results.		
	$P = \frac{TP}{TP+FP}$		
Recall	It is a fraction of True Positive from the	R	[9.17.23.50]
lioouli	total number of True Positive and false		[,,,,,=0,00]
	negatives.		
	$\mathbf{D} = \frac{TP}{T}$		
	$R = \frac{TP + FN}{TP + FN}$	-	500.0VI
F1-score	Defined as the harmonic mean of precision	F	[23,24]
	and recall.		
	$F1 = \frac{2 + T + T}{TP + FP}$		
Mean Square	Mean of the square of the errors between	MSE	-
Error	predicted and observed values.		
Root Mean	Standard deviation of the differences	RMSE	[41,52]
Square Error	between predicted values and observed		
	values.		
Ratio of total	It was computed as the ratio of the	RFC	[28,42]
fruits counted	predicted count value (of fruits), and the		
	actual count. The actual count was		
	calculated by taking the average of the		
	model.		
Intersection	A metric that evaluates predicted bounding	IoU	[18,50]
over Union	boxes, by dividing the area of overlap		
	between the predicted and the ground-		
	truth boxes, by the area of their union.		

Table 1. Performance metrics deployed in studies under review.

## III. MATERIALS AND METHODS

In order to perform the implementation of DL architectures, various steps are needed; begin from the dataset collection to performance analysis and visualization mappings, the

complete procedure is shown in figure 1. Initially, the input data is collected [9] and then split into two portions, 80-20 ratio of training and validation set. Soon after, DL architectures are deployed over the dataset with pre-training and without pre-training, and training/validation curves are drawn to represent the significance of the architectures. Moreover, performance metrics are applied to the classification of images (crop disease). Various visualization techniques are also mapped on the test data in prediction mode.



Figure 1. Shows the beans crop classification methodology using CNN.

### A. Pre-trained models

For classification of crop diseases, DL models, especially CNN's, are trained directly over raw input images. Consequently, the DL models result in learning of the extracted features from input images without the involvement of any kind of manual help (humanintervention). In other words, automatic feature extraction occurs along with the training of the classifier. We have used two CNN models, namely, GoogleNet and VGG16. These

frameworks were presented in computer vision challenges such as ImageNet and got some winning positions. The motive is to deploy these models for the identification of crop diseases.

## 1) VGG16

VGG16 is a 16 layered CNN architecture with 3x3 convolutional filters deployed to enhance the depth of the network. It revealed substantial upgrading for the accuracy of image recognition over large scale. The weight configuration of VGG16 architecture is openly accessible. This model involved of 138 million parameters that mark it challenging to handle. To detect the diseases in wheat crops, Lu et al. [39] implemented two DL architectures, namely, VGG- FCN, and VGG- CNN. Furthermore, feature visualization was done for each block in these DL models. In another research [53], the VGG- CNN framework was implemented for identification of disease (Fusarium wilt) in radish in which K- means clustering algorithm was applied to detect the spots of diseases.

## 2) GoogLeNet

Szegedy et al. [19] have implemented a 22 layers deep CNN model for image detection and classification. The main significance of this model is to improve the utilization of the computational resources that were deployed in the network. With the constant computational budget, the width and depth of the CNN were increased in this model. Hebbian principle and the concept of multi-scale processing was used to optimize the quality of architecture. GoogLeNet gave a top-5 error rate of 6.67%, which is very similar to human-level performance.

### B. Workstation specifications and deep learning framework

All the implementations were performed using GoogleColab (python 3) on a personal computer with GPU:

- Python 3.7,
- 1xTesla K80,
- 2496 CUDA cores, and
- 12GB GDDR5 VRAM.

Such kind of GPU specification is vital for reducing the learning time from days to a few hours. GPU support is very significant in the processing of ample examples in each iteration of learning. For the implementation of a DL, there is a need for committed software and hardware to speed up the training.

## C. Dataset

The dataset was choosen from the GitHub (<u>https://github.com/AI-Lab-Makerere/ibean/</u>). It comprised of the beans crop leaf images taken from the real field using a smartphone. Samples of the leaf images according to the divided classes are shown in figure 2. Table 2 show a description of the used dataset. This dataset holds 1296 images split into three classes. We have used three categories (labels) for the identification of diseases in crops.

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Name of disease	Total number of image
Bean Rust	436
Angular Leaf Spot	432
Healthy	428
Total	1296



Figure 2. shows the beans crop images as follows: (a), (b) represents the Angular Leaf Spot, (c), (d) represents the bean rust disease, and (e), (f) represents the heathy leaf images.

### IV. EXPERIMENTAL ANALYSIS

It is observed that the fine-tuning of pre-trained networks performed better than training from scratch (without pre-trained weights). Moreover, the fine-tuning of hyperparameters increases the accuracy of VGG16 from 0.896 to 0.9375, and GoogleNet from 0.901 to 0.9531. The impact of transfer learning is clarified by the capability of the network that reuses and transmit the features from one problem domain to another. These inherited features are used only with some minor changes in the last layers. Furthermore,

the fine-tuning of hyperparameters is very helpful in situations where training datasets are small. The pre-trained models were trained over large datasets (ImageNet) with a higher number of labels, and these were reused over the smaller training examples. In addition, fine-tuning also benefits for training over the machines with a limited amount of memory in terms of GPU.

A comparison of the performance of pre-trained models is made with the models that were trained from scratch with randomly assigned network weights. It draws the effect of transfer learning on crop disease classification. Table 3 and figure 3 show the experimental results obtained with pre-trained and without pre-training.

Deep architectures	Performance	Without pre-training	With transfer
	Measures		learning
VGG16	Accuracy	0.896	0.9375
	Loss	0.319	0.2608
GoogleNet	Accuracy	0.901	0.9531
	Loss	0.329	0.2024

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Figure 3. Analysis of DL models (with pre-trained weights versus training from scratch)

## V. VISUALIZING THE LEARNING PROCESS IN CNN

It is observed that DL models are often categorized as "black box representations of learning," as these representations having difficulty in the extraction and presentation in a human-readable structure. However, this is not entirely true for CNNs, as CNN's represent the visual concepts of the convolutional layers. Here we present three visualization concepts of the CNN:

- A. Visualization of the intermediate CNN outputs (Intermediate layer activations):
  - It is useful to understand how the subsequent convolutional layer transfers their input from the first layer to the last one, and it also gives the idea of what CNN filters do.
- B. Visualization of the CNN filter:

It is beneficial to understand precisely how visual patterns are receptive to a layer in a CNN.

C. Visualization of heat maps for class activation in an input (image):

It is beneficial to understand the parts of an input image that need to be identified to a particular class, or it allows a user for the localization of objects (regions of interest) in required images.

For the first approach (Activation visualization), We are using the small CNN, which is trained from scratch for beans disease. For the rest two methods, we have used the VGG16 framework.

## A. Visualization of intermediate layer activation

Visualization of intermediate layer activations displays the feature maps, which are the resultant of the several convolutional and pooling layers in the CNN, provided a particular input. The output of the specific layer is termed as its activation [54,55]. It shows a view of how any input is segmented into distinct filters learned by the CNN. In this study, for feature maps visualization, three dimensions (channels), namely, height, width, and depth, are utilized. Each channel encodes its comparatively individual features.



Figure 4. shows the flow of the visualizations of intermediate layer activation

The best way for visualization of such features is by individually plotting curves of the content of each channel in 2D- image format. Figure 4 shows the steps needed to proceed with the visualization of intermediate layer activations. The pre-processed image of a leaf (shown in figure 5) has 498\*498 feature maps with one batch sample and 32 channels. It

can be printed as: (1, 498, 498, 32). Feature map plotting for the 5<sup>th</sup> channel and 12<sup>th</sup> channel of the first layer activation is shown in figure 5. and the full activation visualization of the network is shown in figure 6. Every channel in the plotted map has eight activation maps of features. For extraction of the feature maps, a CNN model is needed that can carry the batches of input images and results in the outcomes of the activations for all convolutional and pooling layers. The model is realized using two parameters, namely, a list of input tensors and a list of output tensors. When an input image is fed to this model, it returns the layers' activation values. These are some characteristics of visualizations:

- There are several detectors such as edge detector, bright dot detector, luminance detector, etc. present in the first layer of the network. In this phase, the feature activation maps contain the complete information present in the provided image.
- As we go deeper, the feature activations will become more abstract and lesser visible for interpretation. Initial representations carry more visual information, and higher-level representations carry lesser visual information that is relevant to the classes of the image.
- The depth of the convolutional layer increases the sparseness of the feature activations that means, at the initial layer, input image activates all the maps (filters); however, in subsequent layers, many filters left as blank.



Figure 5. Activation visualizations for channels.



(a), (b), (c), (d), and (e) are some intermediate layer activations of each channel. Figure 6. Shows the intermediate channel activations

#### B. Visualization of CNN's filter

Filter visualization shows how the CNN layers are reflected in the world. Every layer in the CNN absorbs a pool of filters. The filters on CNN become progressively complicated and more advanced with the depth of the model. In the study [56], A feature visualization method was used to visualize the working of convolutional filters on the ImageNet dataset. Toda et al. [55] showed how CNNs diagnose crop diseases. It demonstrated the diagnosis of diseases for the plant's leaves taken from the PlantVillage dataset. The inspection of filters/ maps learned by the convolution network was used to show the visible patterns that are the response of an intended filter applied to the channel. Gradient descent was used in the input space for this functionality. Gradient descent was applied to the values in the input image of the CNN, which helps in maximizing the response of a particular filter (map). The resultant image is the one to which the selected filter is highly responsive. For the implementation of this approach, there is a need to form a loss function that helps in maximizing the value of a provided filter in a given convolutional layer [54].

Subsequently, the stochastic gradient descent was used for the adjustment of the values in an input image in order to maximize the feature map activation value. For the implementation of gradient descent, there was a need to find the gradient of the loss according to the input fed top of the model. Furthermore, gradient descent normalization was carried out to make the process smoother. It could be achieved by dividing the tensor through its square root of the average of the square of values in the tensor (L2 norm). This process ensured that the magnitude of the updates for the input image remains in the same range. Figure 7 shows the flow diagram for visualization of CNNs filter, and figure 8 displays an instance of the pattern for the 0<sup>th</sup> channel in layer block2\_conv1.



Figure 7. displays the flow diagram for visualization of CNNs filter.



Figure 8. shows the visibility of pattern for the 0<sup>th</sup> channel in layer block2\_conv1.

It was observed that filter '0' in layer block2\_conv1 is receptive to a dot-like pattern (see figure 8). Similarly, visualization could be displayed for other layers also using the different available filters.

#### C. Heat maps visualization for class activation

Heat map visualizations are beneficial to understand which segment of an input image will be forwarded to a CNN for the final decision of classification. It also helps to debug the process of decision-making for a CNN, especially when there is any classification mistake. It also permits to show the location of particular objects in an input image. This visualization category is termed as class activation map (CAM) visualization and comprises the production of heat maps for class activation in the given image. A class activation heat map can be represented as a 2D grid of scores belonging to a particular output class, evaluated for each location over the input image in order to show the significance of every location for its respective class. For example, when input is fed into a CNN trained with images of plant diseases, CAM visualization permits for the generation of a heat map for class "disease" that indicates disease like spots present in an image. Fujita et al. [57] developed a plant diagnosis system for the severe viral manifestations in 9000 cucumber crop leaves images. They have deployed Heatmap visualizations to show the diagnostic regions in leaves images and captures significant features in their results.

"Grad-CAM: Visual Explanations from Deep Networks via Gradient-based Localization" [58], a visualization approach given by Selvaraju et al. involves the convolution layer's output feature map, fed an input image, and weighing each channel in that feature map using the gradient of class w.r.t. the channel. This approach is illustrated in figure 9, using a pre-trained VGG16 network. Let us consider an input image of bean crop disease. The DL model trained with the image size dimensions of 500\*500 pre-processed using some rules. After pre-processing, image sizes were adjusted according to the VGG16 architecture.



Figure 9. shows the steps needed for Heat maps visualization of class activation.

Grad- CAM algorithm [58] was applied for the visualization of the parts of the image that looks like the diseased spots present in the leaf of the bean crop. To accomplish the purpose of visualization, heat map normalization was done using heat map postprocessing, and the normalization range was set up between 0-1. Figure 10. shows the effects of Heat Map class activation.



Figure 10. shows the effects of Heat Map class activation.

### VI. CONCLUSION

In this paper, CNN based DL models are compared in order to carry the beans leaf disease (angular leaf spot and beans rust) classification. The experimental results show that GoogleNet performs better than VGG16 for disease classification. Furthermore, the experimentation also validates the use of pre-training (transfer learning) over the without pre-training (training from scratch). This study also performs some visualizations

techniques, namely, Visualization of intermediate layer activation, Visualization of the CNN filter, and Heat maps based visualization for class activation. It visualizes the results of activation maps deployed in the intermediate convolutional layers and on the regions of the infected image. It helps the naïve users to understand the internal working of the network.

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